

Page 1 of 1 SERIAL NO. ATTY. DOCKET NO. U.S. DEPARTMENT OF COMMERCE 09/943,696 1-21526 PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE APPLICANT TATEMENT BY APPLICANT Henry J. SIMON, Robert T. DECK and Richard V. ANDALORO FILING DATE GROUP AUGUST 31, 2001 U.S. PATENT DOCUMENTS CLASS SUBCLASS FILING DATE DOCUMENT NAME EXAMINER DATE IF APPROPRIATE NUMBER INITIAL 12/1998 Simon 5,846,843 ~ FOREIGN PATENT DOCUMENTS CLASS SUBCLASS TRANSLATION DOCUMENT DATE COUNTRY **EXAMINER** INITIAL NUMBER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) "Theory of Prism-Film Coupler and Thin Film Light Guides"; P.K. Tien and R. Ulrich; Journal of the Optical Society of America, 60, 1325 (1970). "Theory of the Prism-Film Coupler by Plane-Wave Analysis"; R. Ulrich; Journal of the Optical Society of America, 60, 1337-1350 (1970). "Excitation of Surface Electromagnetic Waves in Attenuated Total Reflection Prism Configurations"; W.P. Chen et al.; Phys. Rev. Letters 37, 993 (1976). "Long-Range Surface Plasma Waves on Very Thin Metal Films"; D. Sarid; Physical Review Letters 47, 1927-1930 (1981).

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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